

The Research Data Centre of the German Federal Employment Agency: Data supply and demand between 2004 and 2009

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Abstract The Research Data Centre (FDZ) of the German Federal Employment Agency (BA) in the Institute for Employment Research (IAB) was founded in 2004 and is intended mainly to facilitate access to BA and IAB microdata for non-commercial empirical research using standardized and transparent access rules. Five years after its foundation the FDZ is acknowledged as a producer of innovative data products and has become a visible element of the research community. Furthermore, linkages to other data producers and the internationalization of data products and data access have been promoted by the FDZ.

Das Forschungsdatenzentrum der Bundesagentur für Arbeit: Datenangebot und Datennachfrage zwischen 2004 und 2009

Zusammenfassung Das Forschungsdatenzentrum (FDZ) der Bundesagentur für Arbeit (BA) im Institut für Arbeitsmarkt- und Berufsforschung (IAB) wurde 2004 gegründet. Seine Hauptaufgabe besteht darin, externen Forschern den Zugriff auf die Mikrodaten der BA und des IAB unter Anwendung von standardisierten und transparenten Regeln zu ermöglichen. Fünf Jahre nach seiner Gründung wird das FDZ als Produzent von innovativen Datenprodukten wahrgenommen und hat sich zu einem erkennbaren Bestandteil der Forschungslandschaft entwickelt. Das FDZ

ist außerdem zunehmend mit anderen Datenproduzenten vernetzt und treibt die weitere Internationalisierung von Datenprodukten und Datenzugängen voran.

1 Introduction

The German Federal Employment Agency (Bundesagentur für Arbeit, BA) is the most important public producer of labour market data in Germany. Although the amount of microdata with high research potential was huge, the transfer of these data to external researchers was rather limited before 2004. Data privacy regulations and especially the lack of standardized data access methods constrained the dissemination of these data to the research community. Several attempts were made by the Institute for Employment Research (IAB) in the 1990s to facilitate data access. But none of these attempts succeeded in transparent and systematic data access methods for external researchers.

In 2001, the Commission to improve the informational infrastructure between the scientific community and official statistics (Kommission zur Verbesserung der informationellen Infrastruktur zwischen Wissenschaft und Statistik, KVI) recommended the foundation of so-called research data centres to public producers of microdata in Germany (KVI 2001). The BA followed this recommendation in spring 2004 and founded the Research Data Centre (FDZ) of the BA in the IAB. First co-funded by the Federal Ministry of Education and Research (Bundesministerium für Bildung und Forschung, BMBF) the FDZ has been completely financed by the BA since 2006 after a positive evaluation by the German Council for Social and Economic Data (Rat für Sozial- und Wirtschaftsdaten). Besides the

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FDZ ten other research data centres have been established to date¹.

Since its foundation the FDZ has had a clear perception of itself (Kohlmann 2005). It is primarily a service-oriented institution, providing access to high-quality microdata under compliance of the current data privacy legislation. Furthermore, the members of the FDZ also conduct their own (empirical) research with and on the data. Only by dealing with the content and the options to analyze the available datasets can a sound knowledge about the quality of the data and their research potential be accumulated. Without this knowledge neither a high-level advisory service nor continuous improvements of the data quality are possible. All activities in the dimensions of data access, the development of new data products and research are not restricted to the BA or to national borders. Openness, networking and an international focus are also part of the FDZ's self-perception.

Five years after its foundation the FDZ is regarded as one of the most important suppliers of high-quality microdata on the German labour market. To date, ten datasets covering various aspects of the labour market have been developed, documented and offered to the scientific community. Most of the available datasets stem from the notification process of the social security system, internal procedures of the BA and/or surveys conducted by the BA or the IAB. Moreover, the FDZ has started several projects to enlarge its data supply by trying to link the existing data to other administrative data sources or surveys, both nationally and internationally. One of these projects already succeeded in the Panel WeLL. Here an employee survey for the project "Further Training as a Part of Lifelong Learning" ("Berufliche Weiterbildung als Bestandteil Lebenslangen Lernens") has been linked to the data of the BA. Another project aimed at enlarging the existing data supply and facilitating accessibility is the "Research Data Centre in Research Data Centre" (RDC-in-RDC) approach, also promoted by the FDZ. The basic idea of this approach is that the data of other producers of (administrative) microdata may be accessed through the FDZ and vice versa, even abroad.

It is no surprise that due to their quality the data products of the FDZ are in high demand by national and international researchers. In 2008, for example, 141 new contracts enabling data access for external researchers were concluded. Another aspect explaining the high demand for the data products is that the data products of the FDZ are comparatively easy to access. Depending on the degree of anonymization, three data access methods, i. e. scientific use files, remote data access and on-site use, are available. But the quality and the accessibility of the data

may explain the high demand only in part. Even more interesting for researchers is the high research potential of the data which has already led to numerous highly ranked publications.

After five years of successful work, the aim of this paper is to take stock and to provide an overview of recent activities and future developments of the FDZ. The paper is organized as follows: Sect. 2 describes the main tasks of a research data centre or rather the FDZ. Data supply and data access methods are covered in Sect. 3 while the demand for the data products of the FDZ is outlined in Sect. 4. Future developments and research activities are reported in Sect. 5 and finally, Sect. 6 concludes.

2 Main tasks

As described in the Introduction, the FDZ perceives itself not solely as an institution providing data access, but also as a mediator between the producers and the users of data. Besides the provision of transparent and standardized data access methods under compliance with the current data privacy regulations, the following tasks are inherited by the FDZ (Bender et al. 2009c):

- Development and implementation of data models
Providing access to the microdata of the BA does not mean transferring raw data to external researchers. The FDZ develops and updates specific data products with high research potential and carries out the necessary preparations and adjustments of the raw data. In order to prevent disclosure and the violation of data privacy regulations, the development and application of anonymization strategies are tasks of the FDZ.
- Documentation of the data and individual counseling
In order to assess and tap the full research potential of the data researchers have to know about both technical aspects of the data generation and the statistical properties. Therefore, detailed documentation of the data and individual counseling have to be provided by the FDZ.
- Promotion of the data
The FDZ promotes the spread of information on the data and data access by the organization and active participation in scientific conferences, workshops or seminars.
- Active research on and with the data
Doing active research on and with the data is essential to generate knowledge about the data. Only by being an active member in the research community, the members of the FDZ are able to follow the current methodical discussions in order to assess the research potential of the data and to provide high-level individual counseling. Therefore, the FDZ has not only to fulfill a role as a service provider, but also as an active research unit.

¹ An overview of German research data centres is provided on the website of the German Council for Social and Economic Data <http://www.ratswd.de/eng/>.

3 Data supply and data access

3.1 Data supply

The datasets offered by the FDZ are generated from three different sources: the notification process of the social security system, internal procedures of the Federal Employment Agency and surveys (see Fig. 1).

Data from the notification process of the social security process are submitted in accordance with the German Data and Transmission Act (Verordnung über die Erfassung und Übermittlung von Daten für die Träger der Sozialversicherung, DEÜV) and contain information on employment and unemployment as covered by the social security system. Information on establishments, participation in measures of active labour market policy, payment of social benefits and job search stem from process data internally produced by the BA. Survey data are either used to amend data from the other two sources or themselves represent datasets.

At the moment, the FDZ offers external researchers ten different data products that may be distinguished by establishment data, individual/houshold data and integrated establishment and individual data. A short description of these datasets is given in the paragraphs below whereas

detailed information is provided on the website of the FDZ (<http://fdz.iab.de/en.aspx>).

3.1.1 Establishment data

IAB Establishment Panel (IABB) The IAB Establishment Panel (Kölling 2000, Bellmann 2002 or Fischer et al. 2009) is an annual representative survey of establishments in Germany on various topics such as the determinants of labour demand. It provides information on up to approx. 16,000 establishments per year and has been conducted by the IAB since 1993 in West Germany and since 1996 in East Germany. Each establishment includes at least one employee subject to social security contributions at the time of the interview (June 30th each year). Some standard topics like the development of employment, business policy and development, investments etc., are queried annually. Additionally, each wave focuses on some special topics, for example future demand for qualified employees in 2007 or job security and locational security of industries in 2006.

IAB Establishment History Panel (BHP) The Establishment History Panel (BHP) (Spengler 2008) is composed of yearly cross-sectional datasets up to 1975 for West Germany and 1992 for East Germany. Every cross section contains all

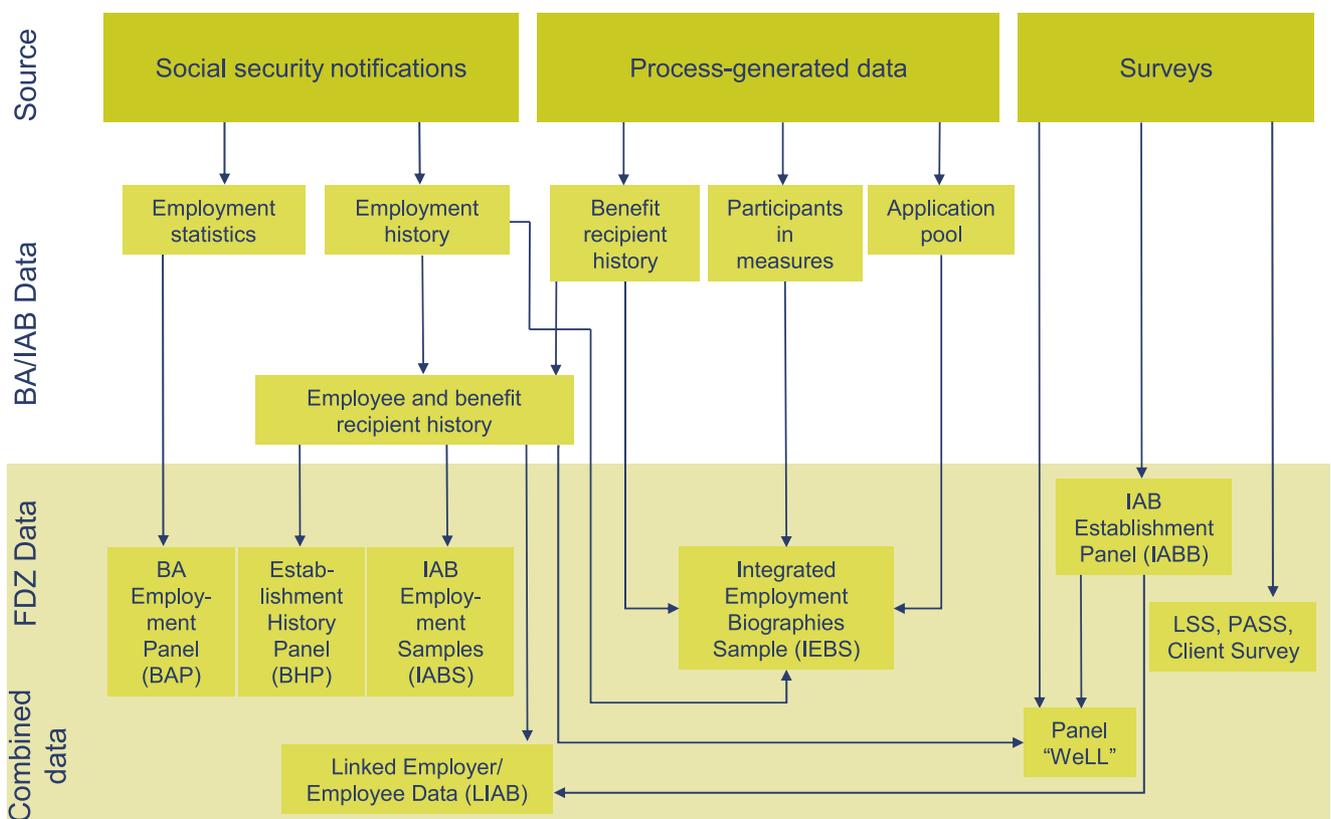


Fig. 1 Data sources and paths

establishments in Germany with at least one employee liable to social security on June 30th. Since 1999 also establishments with no employees liable to social security but with at least one marginal part-time employee are included. The cross sections can be combined to form a panel. The BHP contains information about the branch of industry and the location of the establishment. Furthermore, there is the number of employees liable to social security as well as marginal part-time employees, both in total and subdivided by gender, age, occupational status, qualification and nationality (since 1999). Quartiles of ages and wages are also given, for full-time employees only as well as for all employees.

3.1.2 Individual/household data

BA Employment Panel (BAP) The BA Employment Panel (BAP) (Koch and Meinken 2004) is generated from the quarterly employment statistics of the BA since 1998 and is annually updated by the IAB. The employment statistics cover all employees in Germany who are subject to social security contributions. The database is intended mainly to deliver up-to-date information, therefore, belated employer notifications will not be considered. A 2% sample is drawn with the reference date being the last day of each quarter. Apart from information on employees, the BAP has details on unemployment and participation in measures of active labour market policy. Additionally, marginal part-time employment has been included since 1999. Finally, the data contain a few characteristics on establishments. The BAP may especially be utilized as a basis for cross-section as well as time-series, panel and cohort analyses. Moreover, the BAP represents the official statistics of the BA, while data from the IABS (see next paragraph) are different due to the different editing procedures. Similar to the IABS, the BAP contains a few variables on establishments in addition to the characteristics of individuals.

IAB Employment Samples (IABS) The IAB Employment Samples (Bender and Haas 2002) are 1% or 2% samples drawn from the longitudinal processed database of employment notifications to the social security system and supplemented by information on benefit recipients. Since the IAB Employment Samples are updated subsequently to the BAP database, even belated employer notifications are con-

sidered. The samples cover a continuous flow of data on employment as well as on receipt of unemployment benefits, unemployment assistance and maintenance allowance; therefore, they are highly suitable for performing analyses on the employee and benefit recipient history. Depending on the data access method and therefore on the degree of anonymization, the covered time period as well as the differentiation of contained variables may differ. Table 1 gives an overview of the various versions of the IABS.

Integrated Employment Biographies Sample of the IAB (IEBS) Similar to the IABS, the Integrated Employment Biographies Sample (IEBS) (Jacobebbinghaus and Seth 2007) is based on the employee history and the benefit recipient history compiled in the IAB. By way of contrast, the IEBS integrates other available data sources too, such as the participants in measures and applicant pool databases. Thus, the IEBS embraces event history data on employees liable to social security, benefit recipients, persons who are searching for employment, unemployed persons and participants in measures of active labour market policy. The IEBS allows for more detailed overviews of employment histories as well as comprehensive analyses on active labour market policy. The spells related to participation in measures contain numerous cases on the following measures in active labour market policy: job-creation measures, settling-in allowance, business start-up allowance, measures to promote vocational training, German language courses and more. In its current version the IEBS covers the period from 1990 to 2008.

Cross-sectional survey “Life Situation and Social Security 2005” (LSS 2005) The cross-sectional survey “Life Situation and Social Security 2005” (LSS 2005) was carried out by the Institute for Applied Social Sciences (infas) commissioned by the IAB between November 2005 and March 2006 (Meßmann et al. 2008). 20,832 persons affected by the German Hartz IV reform were interviewed. These persons comprise recipients of unemployment or social assistance of employable age (15–64) who were registered as unemployed or job seekers in December 2004 and employable persons in need of assistance aged 15 to 64 who were registered as unemployed or as job seekers in January 2005 and who were

Table 1 Versions of the IAB Employment Samples (IABS)

	Scientific use file Basic file	Regional file	Weakly anonymized version
Period	1975–1995	1975–1997 1975–2004	1975–2004
Strong Differentiation on	Professions, industries, cooperate workforce	Regions	Professions, industries, regions

recipients of Unemployment Benefit II (ALG II) according to the German Social Code Book II (SGB II). The specific characteristic of the LSS is that it represents a unique dataset which allows for drawing up analyses of the referenced target groups directly after the amalgamation of unemployment and social assistance alongside the introduction of the German Social Code Book II (Hartz IV reform).

Panel Study “Labour Market and Social Security”

(PASS) The Panel Study “Labour Market and Social Security” (PASS) (Trappmann et al. 2009a and 2009b) is a novel dataset in the field of labour market, welfare state and poverty research in Germany. PASS is a new central source for drawing up analyses with reference to the labour market and poverty situation in Germany as well as to the situation of recipients of benefits in accordance with the German Social Code Book II. The applied survey design is a two-stage random sample including 300 postal code areas drawn in a first step, from which household communities in joint receipt of benefits taken from the register data and households from a residential buildings sample are drawn in a second step. The survey units consist of two partial populations: persons and households in receipt of Unemployment Benefit II and persons and households registered as residents of Germany. Initially, a personal interview was carried out with the heads of all selected households. Subsequently, members aged 15 or older were interviewed. Persons aged 65 or older were presented with an abridged questionnaire referred to as a pensioner’s questionnaire. PASS covers a broad spectrum of research questions with regard to the issues of employment and unemployment and is suitable to provide even very detailed information. The panel also includes large-scale socio-demographic characteristics and subjective indicators such as contentment, fears and problems or employment orientation. With almost 19,000 interviewed persons in more than 12,500 households PASS is currently one of the most inclusive panel surveys in Germany. The survey is conducted annually. The first wave was carried out by TNS Infratest Sozialforschung between December 2006 and July 2007, commissioned by the IAB. The second wave has been available since 2009.

Client Survey on Organizational Forms of SGB II-Agencies 2007/08

The Client Survey on Organizational Forms of SGB II-Agencies 2007/08 was carried out by the Centre for European Economic Research (ZEW), Mannheim, the Institute for Work, Skills and Training (IAQ), University of Duisburg-Essen, and TNS Emnid, Bielefeld. The Swiss Institute for Empirical Economic Research (SWE) acted as an advisor. When the SGB II was introduced in 2005, the tasks to be carried out in association with assisting the individuals concerned were

also changed. A standard model was not developed for this assistance, but instead different forms of organization (agencies responsible for implementing the SGB II) compete with each other and are to be evaluated according to the law (§ 6c SGB II). To this end a large association of research institutions conducted extensive surveys among the agencies responsible for implementing the SGB II and among the clients of these agencies. The unusual feature of the client survey which was developed in this project is that the details about the respondents are linked to a wealth of information about the agency (organization, strategies, labour market indicators) (Oertel et al. 2009). In addition, detailed questions are asked about activation measures implemented by the agencies and about the results of these measures for the recipients of benefits in accordance with SGB II. Furthermore, the survey also includes detailed questions about the living situations and employment histories of the benefit recipients, as well as an instrument to measure employability. The survey is representative of the 154 selected agencies responsible for the implementation of SGB II and covers 24,422 individuals.

3.1.3 Integrated establishment and individual data

Linked Employer-Employee Data from the IAB (LIAB)

The Linked Employer-Employee Data from the IAB (LIAB) (Alda et al. 2005) allow for simultaneous analysis of the supply and demand side of the German labour market. For this purpose the IAB Establishment Panel data are matched with personal data generated in labour administration and social security data processing. Two different models of the LIAB data are available. The LIAB cross-sectional model contains both information on individuals and data from the IAB Establishment Panel (IABB) matched on a specific reference date (June 30th). The LIAB longitudinal model is available in three versions. In every version of the LIAB longitudinal model establishments are matched to the employment and benefit receipt history of the employed individuals. Depending on the version, the covered periods and establishments may differ.

Panel WeLL – Employee Survey for the project “Further Training as a Part of Lifelong Learning”

For the project “Further Training as a Part of Lifelong Learning” (WeLL) a small establishment survey was conducted and in addition the employees of the surveyed establishments were interviewed by telephone about their further training activities. This survey is designed as a longitudinal survey with three survey waves conducted in 2007, 2008 and 2009. The first wave of the panel WeLL was conducted by the Institute for Applied Social Sciences (infas) between autumn 2007 and January 2008. For this about 6,400 employees from 149 establishments were interviewed. The survey design is a two-

step sample for which first, a sample of establishments was drawn which was stratified according to the criteria of establishment size, sector, location, further training activities and investment activities. In the second step the respondents were drawn from the total of employees in these establishments. Owing to this specific survey design the sample is not representative of German establishments and employees but is specifically designed for analyzing in-firm further training activities. As part of the project “Further Training as a Part of Lifelong Learning” an extensive innovative dataset is being established that contains information about further vocational training from employers and employees. In a first step the FDZ is now going to provide a scientific use file for external users (Bender et al. 2009a). This dataset contains the data from the first wave of the employee survey supplemented by some establishment information. In this survey the further training activities and the employment biographies of the respondents from the beginning of 2006 up until the time when the survey was conducted were recorded in detail. In addition, socio-demographic characteristics and information about income, household, job satisfaction and expectations regarding the future were collected.

3.1.4 Documentation

Closely connected to data supply is its documentation. Without a clear and detailed documentation of the data empirical research will not be successful. The FDZ publishes two publication series (in German, English translations are

available for most of the issues) in order to provide users and interested researchers with descriptions of the data and methodological aspects when dealing with the data. The FDZ Datenreport series contains data documentations and information on statistical aspects of the datasets. Methodological problems and aspects are addressed in the FDZ Methodenreport series. The two publication series as well as working tools for the specific datasets may be accessed free of charge through the FDZ website <http://fdz.iab.de/en.aspx>.

3.2 Data access

All the individual-level and establishment data offered by the FDZ are subject to data protection legislation. The legal basis for data access is mainly § 67 of the German Social Code Book X and § 282 section 7 of the German Social Code Book III. In compliance with these legal regulations, three data access methods are offered as described below. When granting external researchers access to the microdata of the BA there is always a conflict of interest between the maintenance of the research potential in the data on the one hand and the disclosure of individual information worthy of protection on the other hand. Therefore, the FDZ follows the principle that the need for protection determines the methods and the limits of data access. In general, the more detailed the data the more restrictive is the data access offered by the FDZ.

Table 2 provides an overview of the various datasets of the FDZ and the ways to access them.

Table 2 Data products and methods of data access

Dataset	On-site use	Remote data access	Scientific use file
Establishment data:			
IAB Establishment Panel (IABB)	✓	✓	
IAB Establishment History Panel (BHP)	✓	✓	
Individual data/household data:			
IAB Employment Samples (IABS)	✓	✓*	✓
BA Employment Panel (BAP)	✓	✓	✓
Integrated Employment Biographies	✓	✓*	✓
Sample of the IAB (IEBS)			
Cross-sectional survey “Life Situation and Social Security 2005” (LSS 2005)			✓
Panel Study “Labour Market and Social Security” (PASS)			✓
Client survey on organizational forms of SGBII-Agencies 2007/08			✓
Integrated establishment and individual data:			
Linked Employer-Employee Data from the IAB (LIAB)	✓	✓*	
Panel WeLL – Employee Survey for the Project “Further Training as a Part of Lifelong Learning”			✓

* Only available after on-site use.

3.2.1 Scientific use files

Scientific use files are factually anonymous datasets, which are provided to scientific institutions within the scope of § 282 section 7 of the German Social Code Book III. Scientific use files have to be used for research only. Data access for teaching or commercial interests is not possible. In order to obtain access to scientific use files offered by the FDZ, researchers have to send an application to the FDZ. Besides the names of the project members, the time frame of the project as well as the relation of the project to labour market research has to be specified. Moreover, the modes of ensuring confidentiality by organizational and technical measures have to be described. After the application is approved by the FDZ, a contract is signed and the researcher will receive the data in the required format (SAS, Stata, SPSS or ASCII). Any attempt at deanonimization by the researcher or merging of the data with other data is strictly prohibited. Scientific use files are available for the Regional File 1975–2004 of the IAB Employment Sample (IABS)², the Integrated Employment Biographies Sample of the IAB (IEBS), the survey “Life Situation and Social Security 2005” (LSS 2005), the panel “Labour Market and Social Security” (PASS) and the Client Survey. Since September 2009 the scientific use file of the WeLL data has also been offered to the scientific community. All scientific use files are available free of charge.

3.2.2 Remote data access

Besides scientific use files the FDZ offers external researchers the possibility to access data remotely. By definition, remote data access³ means that the researcher is able to access data from his home desktop computer via a secure internet connection at any time. Program files for the evaluation of the data may be started by the researcher and information on the status of the job are provided automatically. In order to prevent disclosure an automatic check of the output files is performed before the files are sent to the researcher or can be downloaded. Unfortunately, due to technical and legal restrictions this “ideal” way of remote data access has not been implemented by any of the German research data centres so far. Instead, remote data execution is applied. This means that syntax files (in SAS, Stata or SPSS) that have been sent by external researchers are processed with the original

data by the FDZ staff. After that the FDZ staff screens the output files, preserves anonymity and sends them to the researcher. In order to guarantee a smooth and quick procession the FDZ provides detailed information, for example codebooks, questionnaires and syntax files for dealing with special problems of the data on its website. Additionally, artificial test data are offered for familiarization with the data and for preparation of the syntax files.

The procedure for obtaining data access via remote data access is comparable to scientific use files. Externals researchers have to send a form that includes information on the applicant, the aim of the analyses and their content to the FDZ which decides on the application. Compared to scientific use files, remote data access has the disadvantage that an external researcher is not able to directly control the procession of his syntax files with the data and to receive his outputs immediately. But remote data access provides access to less anonymized data, which may offer a higher research potential than scientific use files. Currently, the FDZ charges no fee for this form of data access. Remote data access is available for IAB Establishment Panel (IABB), the Establishment History Panel (BHP) and the IAB Employment Panel (BAP). For the IAB Employment Sample (IABS, weakly anonymized version), the Integrated Employment Biographies (IEBS, weakly anonymized version) and the Linked Employer-Employee Data (LIAB), remote data access is only available after on-site use.

3.2.3 On-site use

The third method of data access offered is on-site use, i. e. direct access to the data via a stay as a guest researcher in the FDZ. This method of data access provides direct access to only weakly anonymized datasets where researchers may dispose of the full information on the content of the variables (only identification variables are deleted) on request. In accordance with § 75 of the German Social Code Book X, researchers who wish to visit as guest researchers have to apply for on-site use in written form. Besides the applied dataset(s), the application has to include the names and the affiliation of the project members, a technical and a non-technical description of the project and the relation of the research project to the German social security system has to be outlined. Furthermore, it must be depicted why the particular research project is of public interest. After the request has been approved by the FDZ, the Federal Ministry of Labour and Social Affairs (Bundesministerium für Arbeit und Soziales, BMAS) has to be asked for authorization of the data access. Data access is finally granted after signing a contract between the researchers and the FDZ. The maximum duration of a research visit is two weeks. Data pro-

² Only the Regional File 1975–2004 of the IABS is distributed by the FDZ. The Basic File 1975–1995 (ZA-Nr. 3136) as well as the Regional File 1975–1997 (ZA-Nr. 3348) is distributed by GESIS — Leibniz Institute for the Social Sciences <http://www.gesis.org>.

³ A definition of remote data access as well as of remote data execution is given in Hundepool et al. 2009.

tection is guaranteed by a special configuration of the guest researchers' workplace and disclosure reviews at the end of each research visit.

3.2.4 Access for non-german users

Access to the data products of the FDZ is not restricted to German researchers or researchers living in Germany. Non-German researchers are granted data access under the same terms and conditions as for Germans and/or resident researchers. Though there is no formal discrimination of researchers from abroad, in respect of visits to the FDZ they are disadvantaged due to higher travel expenses. For this reason a grant to assist researchers from abroad was set up by the FDZ in 2007. When a request is approved, the FDZ grants partial funding of the accommodation expenses for guests from non-German-speaking countries. The establishment of this grant was evaluated positively by the German Council of Science and Humanities (Wissenschaftsrat) in its report (Wissenschaftsrat 2007, p. 55).

4 The demand for data

Five years after its establishment the FDZ is widely acknowledged as a producer of high-quality microdata on the German labour market and its data products enjoy great popularity nationally as well as abroad. Between the period 2005 and 2008, 436 contracts were concluded. In this period the number of new contracts increased continuously, starting with 81 contracts in 2005 and reaching 141 in 2008. The IAB Establishment Panel (IABB) experienced the highest demand, while the IAB Employment Sample (IABS) was the runner-up (see Table 3).

Most of the contractual partners and guest researchers at the FDZ are researchers living in Germany. But there is also a significant number of users coming from abroad. In the period from 2006 to 2008 the numbers of contractual partners as well as on-site users from abroad increased as demonstrated by Fig. 2.

4.1 Scientific use files

After a decline in 2005 and 2006 the demand for scientific use files nearly reached its initial level from 2005 (see Table 3). In 2008, 37 projects were given data access via scientific use files by the FDZ. Causal for the increase in 2008 was the availability of the new scientific use files for the Integrated Employment Sample (IEBS) and the surveys PASS and LSS. The decline in new projects using the scientific use files of the IAB Employment Samples and the BA Employment Panel may be explained by the fact that projects generally last over one year. Therefore, researchers who for example successfully applied for the IAB Employment Sample in one year, will not apply for the dataset in the next few years.

4.2 Remote data access

Though remote data access has the disadvantage for the researchers that they cannot directly see the data, it is a widely accepted form of data access. The number of cases of remote data access have grown steadily over the years, reaching 1,390 at the end of 2008 (see Table 4). Remarkable is the increase in the number of cases between 2006 and 2007. Because of the growing number of researchers accessing the data of the FDZ by remote data access the case numbers more than doubled. The case numbers remained nearly

Table 3 Number of new contracts according to dataset and data access. Source: Bender et al. 2009a, p. 9

Dataset	Data access	2005	2006	2007	2008	2005–2008
IABB	Remote data access	29	36	35	42	142
	On-site use *	2	8	7	12	29
BHP	On-site use *	0	2	7	7	16
IABS	On-site use *	1	7	8	8	24
	Scientific use file	31	26	19	17	93
BAP	Remote data access	1	3	9	6	19
	On-site use *	0	1	1	0	2
	Scientific use file	8	0	4	4	16
IEBS	On-site use *	0	3	3	2	8
	Scientific use file	0	0	0	4	4
LSS	Scientific use file	0	0	0	3	3
PASS	Scientific use file	0	0	0	9	9
LIAB	On-site use *	9	14	21	27	71
Total		81	100	114	141	436
	Scientific use file	39	26	23	37	125
	Remote data access	30	39	44	48	161
	On-site use	12	35	47	56	150

* On-site use is followed by remote data access.

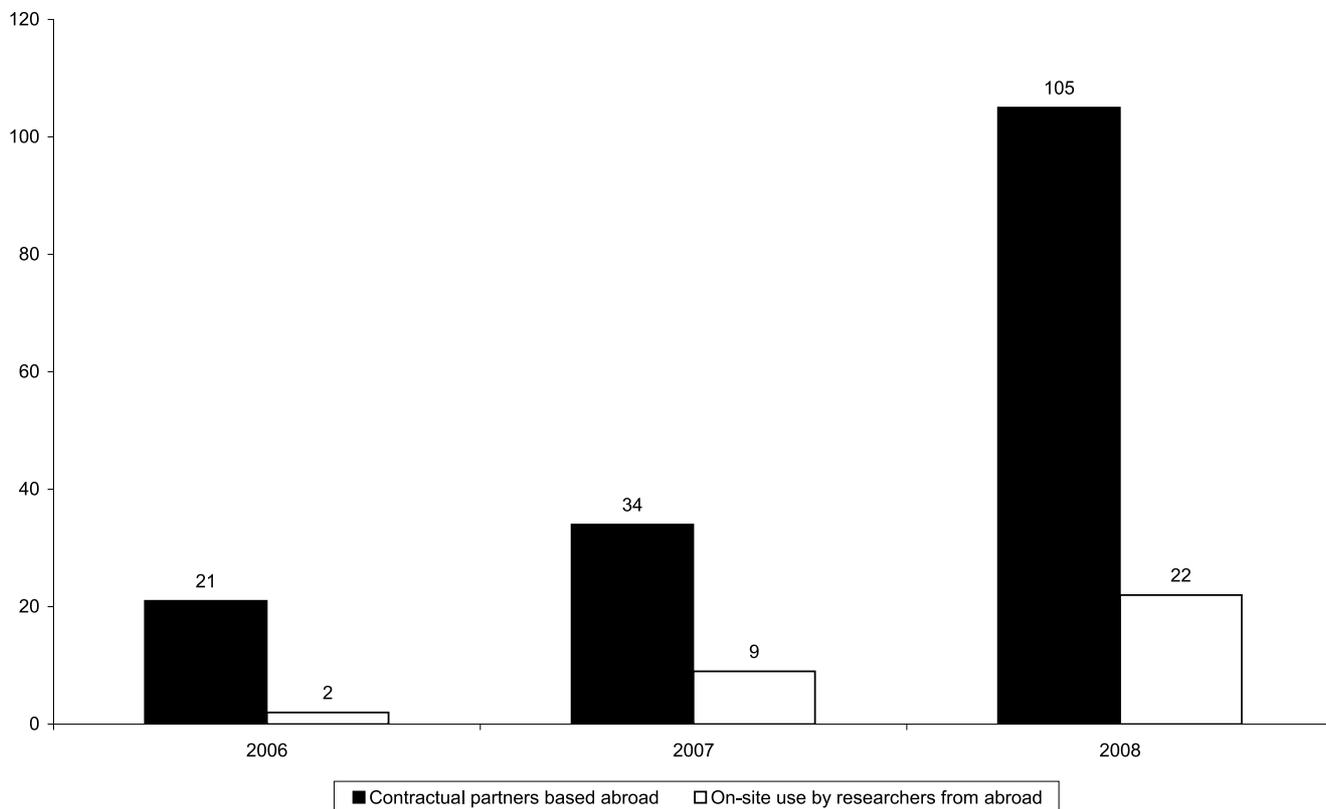


Fig. 2 Contractual partners based abroad and on-site use by researchers from abroad from 2006 to 2008. Source: Bender et al. 2009a, p. 19

Table 4 Number of cases of remote data access by dataset. Source: Bender et al. 2009a, p. 11

Dataset	2005	2006	2007	2008	Total
BAP	1	13	55	65	134
IABB	196	257	368	431	1252
BHP	–	–	78	79	157
IABS	–	26	79	173	278
IEBS	6	11	34	51	102
LIAB	155	370	713	591	1829
Total	359	677	1328	1390	3754

Table 5 Total time required for verification of evaluations using remote data access (in hours). Source: Bender et al. 2009a, p. 11

Dataset	2005	2006	2007	2008
IABB	55.8	219.1	140.4	157.9
BAP	1.0	11.5	29.5	15.2
BHP	–	–	24.1	71.2
IABS	–	8.9	29.3	26.9
IEBS	–	2.8	10.4	8.9
LIAB	24.0	149.7	149.4	121.7
Total	80.8	391.9	383.2	401.7

constant in 2008 although the number of projects using remote data access or on-site use followed by remote data access rose. This development may be explained by a learning effect on the part of the users who gained more experience in handling the data. Broken down into figures for individual datasets, the LIAB data and the IABB were the two datasets most responded to.

After the syntaxes have been processed for the data the outputs are screened by the FDZ staff. In conjunction with the growing number of cases also the required time for verification has grown. In 2008 the FDZ staff spent over 400 hours screening outputs to avoid disclosure of individuals and to ensure data privacy regulations (see Table 5). One should recognize that besides the number of cases the scope

and the complexity of the specific evaluations also influence verification time.

4.3 On-site use

In order to enable access for external researchers to weakly anonymized datasets via on-site use the FDZ is equipped with one guest room and five workstations. As for the other methods of data access the numbers of researchers accessing data via on-site use have considerably increased since the establishment of the FDZ. Starting with 22 visits by external researchers in 2005, the number of visits reached 256 in 2008. In total 482 visits by external researchers were registered during the period 2005 to 2008. Despite this huge

Table 6 Publications produced using FDZ data by type of literature. Reference date: 16.01.2009. At least one author per publication is a user of the FDZ and no author is affiliated to the IAB in the years of publication. Source: Evaluation by the department “Documentation and Service” of the IAB

	IABB	BHP	BAP	IABS	IEBS	LIAB	Total
SSCI journals	11	0	0	12	3	1	27
Other refereed journals	15	1	3	11	5	7	42
Other journals	12	0	0	5	1	3	21
Working paper/discussion paper	21	5	7	59	21	31	144
Monographs	65	1	9	14	3	4	96
Articles in collected editions	4	0	2	4	0	1	11
Total	128	7	21	105	33	47	341

increase in visits the existing facilities at the FDZ were sufficient to allow every researcher enough time for his guest stay. The average duration of the visit was three days in 2005 to 2007 before declining to two days in 2008.

4.4 Publications

The success of the FDZ and its importance for the scientific community are not only documented by the high demand for data products of the FDZ as outlined in the sections above. It is also demonstrated by the publications produced using data from the FDZ (see Table 6). From 2004 to 2008 the users of the FDZ produced a total of 341 publications. Twenty-seven of these contributions were published in SSCI (Social Sciences Citation Index) journals and a further 42 in other refereed journals. Because of the large number of working/discussion papers a case can be made that the positive publication trend will continue.

5 Infrastructural developments and research activities

The FDZ works on and drives innovation in regard to its own infrastructure continuously in order to optimize ways of data access and data supply. Moreover, the FDZ conducts its own research on and with its data. The aim of this section is to illustrate both the current/future infrastructural developments and the research activities of the FDZ. In the first part, current or future projects concerning the infrastructure are described. Part two focuses on the research activities, including the development of new datasets.

5.1 Infrastructural developments

The first project concentrates on the conception and establishment of a metadata (management) system based on international standards. The data are only of use to researchers if they are documented and if information on the data generating process is available. To strengthen the capacity of the FDZ and to facilitate exchange of statistical information,

the FDZ in cooperation with the IT department of the IAB (ITM) is developing a metadata specification based on international standards.

Another project focusing on the infrastructural aspects is JoSuA (Job Submission Application)⁴, which was developed at the Institute for the Study of Labor (Institut zur Zukunft der Arbeit, IZA). The FDZ is implementing this more efficient system to run remote data access. As mentioned above, the ideal way of remote data access could not be implemented by the FDZ so far. JoSuA is a first step towards an optimized conception of remote data access. With JoSuA the researcher is able to submit syntaxes either by email or using a web interface and to start the evaluation by her-/himself. There are no delays because the job was sent at the weekend or at night and the status of the job can be controlled at any time. After the output files have been checked by the FDZ staff, they may be downloaded from the web.

Finally, the most prestigious of the current projects is the so-called “Research Data Centre in Research Data Centre” (RDC-in-RDC) approach. This project aims to facilitate data access and moreover, to extend the available data supply at the FDZ. As described above, less anonymized datasets may only be accessed by (costly) guest stays in Nuremberg that are followed afterwards by remote data access. In order to facilitate guest stays for researchers, the FDZ developed the RDC-in-RDC approach. The basic idea is to offer researchers the possibility to access the data of the FDZ via a secure internet connection from locations other than Nuremberg. In cooperation with the Research Data Centres of the statistical offices of the Länder, on-site use of the FDZ’s data shall be offered at initially four different sites. Vice versa, the microdata of the statistical offices of the Länder may be accessed through a guest stay at the FDZ. The RDC-in-RDC approach is technically implemented by special separated work stations at the respective research data centres which are connected to the FDZ in

⁴ A short description of JoSuA is given in Schneider 2009 and Schneider and Wolf 2008.

Nuremberg. Researchers apply for data access as described above and additionally specify their preferred location. After approval the FDZ in Nuremberg provides online access to the data. The data themselves never leave the FDZ and the produced output files are saved on a FDZ server. As at a regular guest stay, the output files are controlled by the Nuremberg staff before they are sent to the researcher. It is important to notice that though the data of the FDZ and the statistical offices of the Länder may be accessed through one location, the respective data are stored in different locations. Moreover, it is strictly forbidden to combine the data of the FDZ and the data of the statistical offices of the Länder without special permission.

In order to enable more researchers from North America to access the data of the FDZ, a branch of the FDZ at the Institute for Social Research (ISR) of the University of Michigan in Ann Arbor is planned. Though there are no differences in the technical implementations and the application process between the “German” and the international RDC-in-RDC approach, the legal situation is different. Most important, only anonymized data are allowed to be accessed from abroad within the RDC-in-RDC approach. Therefore, on-site staff are required to specifically construct anonymized datasets for approved projects.

5.2 Research activities and projects

The FDZ’s research activities are well documented through its publication record. In 2008 FDZ employees published 23 research articles, five of those in refereed journals⁵. The “Conference on the Analysis of Firms and Employees” (CAFÉ 2006), which was held by the FDZ in 2006, was documented both in a special edition of *Labour Economics* and in a refereed NBER book which was published in 2008. This picture is completed by numerous presentations held in Germany and abroad about the research activities conducted at the FDZ. In addition, the FDZ is involved in a number of externally funded projects, co-financed by the German Research Foundation (Deutsche Forschungsgemeinschaft, DFG), the Federal Ministry of Education and Research (Bundesministerium für Bildung und Forschung, BMBF) or the Leibniz Association and carried out in cooperation with universities, research institutes or the Federal Statistical Office. Each of these externally funded projects also included funding for staff⁶. As for the infrastructural developments, only a short overview of some of the current projects is given here.

September 2007 marked the launch of the project “Combined Firm Data for Germany” (KombiFiD)⁷, the first project to be mentioned here. The aim of this project is to link for the first time company data from the statistical offices, the Deutsche Bundesbank and the BA/IAB. The project will run for three years in cooperation with the Research Data Centre of the Federal Statistical Office and the Department for Empirical Economic Research of Leuphana University of Lüneburg. On receipt of a written agreement from the companies their data will be merged. The next goal is to make data from the FDZ of the BA and the Research Data Centre of the Federal Statistical Office available to scientists for research purposes in early 2010. Another goal of the project is to create a legal framework for permanent linkage of the individual data. The KombiFiD project is pioneering and makes it possible to study economic processes in more detail and more thoroughly than was the case with the data material previously available. The project is funded by the BMBF.

The second project to be mentioned in this context is the construction of a longitudinal-biographical dataset, based on the data of the German Pension Insurance, the BA and the IAB called BASiD (Biographical data of selected social insurance agencies in Germany) (Budzak et al. 2009). Both the Research Data Centre of the German Pension Insurance (FDZ-RV) and the FDZ offer longitudinal individual-level datasets. These datasets contain on the one hand information on the social security notifications and on the other hand characteristics of the administrative procedures of both institutions. In each institution only information for the accomplishment of their own tasks is kept. For example, information concerning the number of children and birth dates is stored only at the German Pension Insurance, whereas information about training measures of the BA is only available at the IAB. The aim of the project is to construct a combined dataset and to offer the data to researchers as a scientific use file and via on-site use.

Another current project of the FDZ focuses on data on transnational commuting in the German–Danish border region. The IAB Regional Office Northern Germany in cooperation with the Department of Border Region Studies of the University of Southern Denmark is building up a dataset which enables for the first time the analysis of transnational commuting between Germany and Denmark in both directions. By using the method of record-linkage, transnational commuters are identified both in the German and Danish data (see Buch et al. 2009). Together with the FDZ the project partners work on a dataset containing individual employment biographies, which will be acces-

⁵ See Bender et al. 2009b for a complete list.

⁶ A detailed overview is given in Bender et al. 2009b.

⁷ A detailed description of the KombiFiD project is given in Brandt et al. 2008 or Hethey and Spengler 2009 or on the KombiFiD website http://fdz.iab.de/en/FDZ_Projects/kombifid.aspx.

sible via the FDZ. Beside nationality and gender, the data will also contain information on payment, industry codes and firm size therefore allowing structural analyses of transnational mobility.

While the projects mentioned so far focused on the development of new datasets, the emphasis of the last research project lies on the evaluation of already existing data. In cooperation with the RWI Essen (Rheinisch-Westfälisches Institut für Wirtschaftsforschung) data on the BA's Employment History aggregated on the postal code level are merged with the German Socio-Economic Panel (G-SOEP)⁸. The project aims at an empirical application of models of social interactions to the patterns and determinants of human capital formation. It is an often observed empirical regularity in Germany that agents who belong to the same group tend to behave similarly and to display similar outcomes with regard to the transition from school to work and career dynamics. In order to evaluate this data on a specifically disaggregated level, postal-code areas, are for example required.

6 Conclusion

With the foundation of its own research data centre in 2004 the BA followed a recommendation of the KVI in order to facilitate and standardize access to microdata on the German labour market. Just one year after the FDZ started its work, numerous researchers had applied successfully for data access demonstrating the necessity and the usefulness of such a kind of institution.

Now, five years after its foundation, the FDZ has become one of the most important suppliers of microdata on the German labour market. It was positively evaluated by the Council for Social and Economic Data (Rat für Sozial- und Wirtschaftsdaten) in 2006 and by the German Council of Science and Humanities (Wissenschaftsrat) in 2007 which confirmed the FDZ is an internationally unique institution: "The Research Data Centre (focusing on methods and data access) is an internationally visible, indispensable service institution, unique in Europe and a prime example to other institutions, possessing large datasets of scientific importance". (German Council of Science and Humanities 2007, p. 55). Besides the comparatively easy data access methods, elaborate documentation and the high research potential of the data were responsible for the development in the demand for the data.

The activities of the FDZ in the past five years have not been restricted to the administration of the existing data products. Several new data products have been developed

and offered to the scientific community. Among these new developments were for example the Establishment-History Panel (BHP) and the scientific use files of the Integrated Employment Biographies (IEBS) or the Panel WeLL. Conferences and Workshops have been held in order to deepen the knowledge on the available data and to expand the network within the scientific community.

The past five years have been a very successful period for the FDZ. But still the development of the FDZ has not come to an end. After the data resources of the BA have been used for the development of (new) data products, the next step for the FDZ will be the linkage of these data with other German microdata as is intended in the KombifID or the BASiD project. Also the further opening of the FDZ to the international data market is one of the aims for the near future. The RDC-in-RDC approach and the establishment of a FDZ branch in Ann Arbor, Michigan will mark further milestones in the development of the FDZ in particular and for the access to administrative German microdata in general.

Executive summary

The Research Data Centre (FDZ) of the German Federal Employment Agency (BA) in the Institute for Employment Research (IAB) was founded in 2004 and is intended mainly to facilitate access to BA and IAB microdata for non-commercial empirical research using standardized and transparent access rules. The FDZ perceives itself as a mediator between the producers and the users of data. It is responsible for the development and the implementation of new data models, the documentation of the data and individual counseling. At the moment, ten datasets covering various aspects of the labour market are offered to the scientific community. Depending on the degree of anonymization, external researchers may access the data of the FDZ either through scientific use files, remote data access or on-site use. Five years after its establishment, the data products of the FDZ enjoy great popularity. The numbers of new projects which had successfully applied for data access increased steadily over the past five years and led to numerous, highly ranked publications. In order to enlarge its data supply, the FDZ tries to link the existing data to other administrative data or surveys both nationally and internationally. Moreover, the FDZ actively works on and drives innovation in regard to its own infrastructure, for example the RDC-in-RDC approach or the development of a metadata system based on international standards. The FDZ perceives itself not only as a service-oriented institution. Only by conducting its own research on and with the data, can the members of the FDZ provide individual counseling for external researchers on the research potential

⁸ See <http://www.diw.de/soep>.

of the data. This includes the development of new data products like in the projects KombiFiD or BaSiD as well as the use of the existing data to answer our own research questions.

Kurzfassung

Das Forschungsdatenzentrum (FDZ) der Bundesagentur für Arbeit (BA) im Institut für Arbeitsmarkt- und Berufsforschung wurde 2004 gegründet. Seine Hauptaufgabe besteht darin, externen Forschern anhand von standardisierten und transparenten Datenzugangswegen den Zugriff auf die Mikrodaten der BA und des IAB zu ermöglichen. Das FDZ versteht sich dabei als Mittler zwischen den Datenproduzenten und Datennutzern. Es ist verantwortlich für die Implementation von neuen Datenmodellen, die Dokumentation der Daten und für die individuelle Beratung von externen Forschern hinsichtlich des Datenangebots. Zum jetzigen Zeitpunkt sind 10 Datenprodukte, die verschiedenste Aspekte des Arbeitsmarktes abbilden, für externe Forscher erhältlich. Abhängig vom jeweiligen Anonymisierungsgrad kann der Zugriff auf diese Datenprodukte durch Scientific Use Files, durch kontrollierte Datenfernverarbeitung oder während eines Gastaufenthalts erfolgen. Die Datenprodukte des FDZ erfreuen sich auch fünf Jahre nach dessen Gründung großer Beliebtheit. Die Anzahl an neuen Projekten, die sich erfolgreich um Zugang zu den Daten des FDZ bemüht haben, ist beständig gestiegen und hat zu einer hohen Anzahl an hochrangigen Publikationen geführt. Für die Zukunft ist die Ausweitung des Datenangebots durch die Verknüpfung der bestehenden Datenprodukte mit anderen, national und international verfügbaren administrativen Daten und Befragungsdaten geplant. Ferner arbeitet das FDZ aktiv an der Weiterentwicklungen seiner Infrastruktur, wie z. B. im Rahmen des FDZ-in-FDZ Projektes oder durch die Entwicklung eines Metadaten-systems. Das FDZ sieht sich jedoch nicht nur als reine Serviceeinrichtung. Damit die Mitarbeiter des FDZ in der Lage sind, externe Forscher gezielt und kompetent über das Forschungspotential der FDZ Datenprodukte zu beraten, ist es entscheidend, dass das FDZ auch eigene Forschung über und mit den eigenen Daten betreibt. Dies umfasst sowohl die Neukonzeption neuer Datenprodukte wie im Rahmen der KombiFiD oder BaSiD Projekte, als auch die Bearbeitung eigener Forschungsfragen mit Hilfe der Daten.

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