Institute for Employment Research

The Research Institute of the Federal Employment Agency



Interviewer Assessments of Response Propensity

Paradata Workshop November 2011 Stephanie Eckman
Jennifer Sinibaldi

Willingness Ratings

Interviewers know cases best

- Can assess cases' willingness to complete survey
- How likely is this case to ever complete?



Practical Reasons for Willingness Ratings

- Direct efforts to most promising cases
 - Reduce data collection costs
 - Increase response rates

Add power to response propensity models

NSFG, NatSal



Additional Reasons for Willingness Ratings

- In face-to-face surveys, interviewers decide
 - which cases to work
 - when and how to try them again
- These judgments can introduce NR bias
 - Interviewers act on their willingness assessments
 - And assessments related to survey variables



Research Questions

- Do they correlate with response propensity?
- What influences willingness ratings
 - Respondent characteristics
 - Interviewer characteristics
 - Call characteristics
- Are there interviewer effects?
 - If we use these to direct effort or adjust weights, we'd like there to be no interviewer variability

Call History Dataset

- Telephone survey of adults in Germany (n=2400)
 - LINK Institute
 - RR: 16-22% across 3 strata
- Interviewers assessed willingness after contact
 - 0 100 scale
- Do not see score assigned by other interviewers

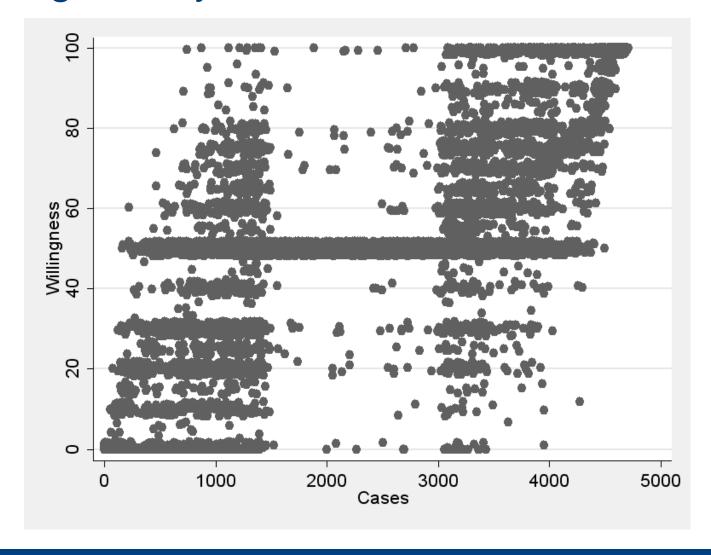


Call History Dataset

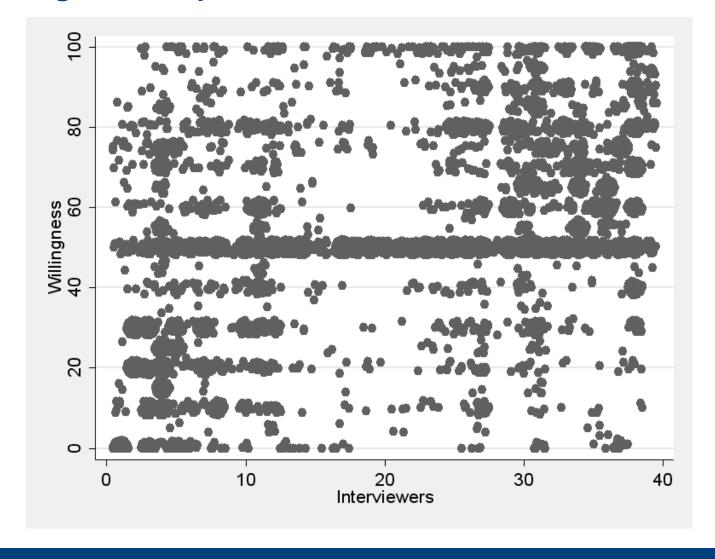
- 10,004 calls with willingness ratings (72,650 total)
 - No ratings on calls where case complete
 - Limited refusal conversion in this study
 - 88% of calls led to appointments
 - **5**0
- 4,666 cases with ratings

- 39 interviewers
 - 35 with completed interviewer questionnaires

Willingness by Case

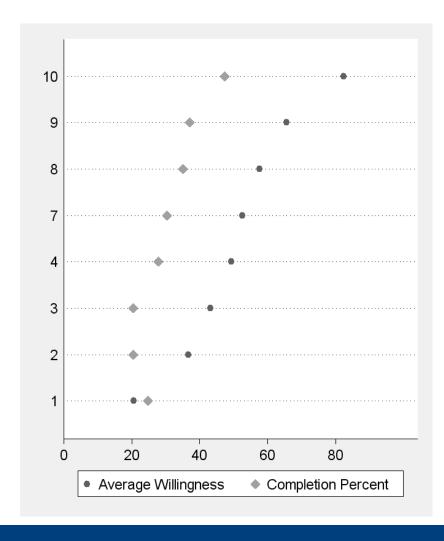


Willingness by Interviewer





Performance by Willingness Deciles



 Average willingness over all calls correlates with completion probability



Random Effects Models of Willingness

Indep. Variable	Est. Coefficient in Percentage Points	Std Error
Call number	-0.2 *	0.042
Target person reached	9.2 *	0.36
Appointment	6.4 *	0.55
Stratum: Unemployed	reference	
Stratum: Welfare	0.75	0.47
Stratum: Employed	-0.20	0.46
rho(interviewer)	0.38 *	
rho(case)	0.07 *	

Preliminary Answers to Research Qs

- Willingness does correlate with completion rate
- Influences on willingness ratings:
 - Respondent characteristics no association so far
 - Interviewer characteristics no association so far
 - Call characteristics strong association
- Yes, there are interviewer effects

Future Research

- Do ratings affect how interviewers approach a case?
 - Show interviewers average of prior ratings of case
 - Manipulate prior rating effect on performance?

Do ratings correlate with any survey variables?

Do ratings improve propensity models?

Thank you

stephanie.eckman@iab.de



References

Kennickell, A. B. (2005). "Darkness Made Visible: Field Management and Nonresponse in the 2004 SCF." In Proceedings of the Section on Survey Research Methods, American Statistical Association.