Questionnaire

Management practices and firm performance in Germany

Objective of this survey

We conduct the first large-scale academic survey on management practices and firm performance in Germany. This survey analyzes profound changes in the working environment, which are of interest to businesses and the general public. We aim at understanding how motivation and incentive systems (such as performance indicators or bonuses), measures to improve work-family balance and flexible employment models affect employee motivation and productivity of establishments. Your participation will help us to better understand these issues from the firm's perspective and to adjust labor market policy in this area to the needs of establishments.

What we are kindly asking for

Please complete this questionnaire within the next four weeks and send it to:

infas Institut für angewandte Sozialwissenschaft GmbH, Postfach 24 01 01, 53154 Bonn

A postage paid envelope is enclosed.

Alternatively, you can complete the questionnaire online:

Online access: www.infas.de/mop Personal password:

Questionnaire

Management practices and firm performance in Germany

Intas Institut für Arbeitsmarktund Berufsforschung Die Forschungseinrichtung der

What happens with your data

The institutes conducting this survey are jointly responsible for ensuring data protection. All of your information is treated strictly confidential, following German data protection legislation.

This means: The research institutes (IfW, IAB) receive your data without information on the name or address of your establishment, supplemented by three categorized indicators on the establishment (establishment size, industry and region). Analyses are always carried out in an anonymized form. All data will be aggregated with the information of other establishments. The results do not allow the identification of a single establishment.

Who conducts the survey

This scientific study is jointly conducted by the Kiel Institute for the World Economy (IfW), the Institute for Employment Research (IAB) of the Federal Employment Agency and infas Institute for Applied Social Sciences.

For questions please contact:

For questions regarding the implementation of the study, please contact infas:

infas

Phone: 0800/73 84-500 Fax: 0228/382 2-894 Email: mop@infas.de

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Instructions for completing the questionnaire

Some of the following questions explicitly refer to <u>managers</u>, others explicitly refer to <u>non-managers</u>. A manager is someone who has employees directly reporting to them. Non-managers are all employees who are not managers; i.e., they are not responsible for managing other employees.

Please check one box where applicable:
Example:
Yes⊠ 1
Please insert enquired numbers where asked for:
Example:
3500
Please pay attention to instructions given for answering a question:
Example:
宇 Please only check one box.
Statements should be given <u>only</u> for the business unit in question (not e.g. for larger units including different locations).
Please pay attention to instructions regarding the skipping of questions: The next question to be answered is listed next to the arrow.
Example:
Yes ₁ → Please continue with question 5!
If you made a mistake, please encircle the wrong box and mark the right answer:
Example:
Yes(🖂) 1
No

Part A: Management practices

At first, we would like to ask you some questions regarding your management practices. Please answer the following set of questions. Some questions solely refer to the year 2008. Yet, most questions refer to the years 2008 and 2013.

	2000 and 2013.									
1.	Did your establishment alrea	dy exist in 2008?								
	Please check one box.									
	Yes 1									
	No \square 2 \rightarrow \bigwedge	Most questions refer to the years 2008 and 20 2008, please only answer questions relating to	13.	Since your establishment did not exist i						
		Please continue with question 2!	, 1110	, your 2010.						
2.	. In 2008 and 2013, what best describes what happened at this establishment when a problem in the production process arose?									
	Examples: Quality defect in a p	product or a piece of machinery breaking down	7							
	Please check one box for e	each year.								
	We fixed it but did not take furth	ner action		2008 2013 1						
	We fixed it and took action to m									
	it did not happen again	nake sure that it did not happen again,		22						
		nent process to anticipate problems								
	No action was taken			4						
	I do not know			88						
3.	From your point of view, how	important are key performance indicators	to ı	make business decisions?						
	△ F Please check one box.									
			Ver							
	important important unimportant unimportant									
4.	In 2008 and 2013, how many	key performance indicators were monitor	ed a	at this establishment?						
	Examples for key performand energy, absenteeism and delive	ce indicators are: metrics on production, cost ery on time	t, ou	tput, quality, inventory,						
	雷 If an exact statement is not	possible, please guess.								
	2008	2013		If you answered "I do not know" for at						
				least one year:						
	Number:	Number:		Please continue with question 5!						
			\rightarrow	If "No performance indicators" was marked in both years:						
	No performance indicators	No performance indicators 1		Please continue with question 9! Otherwise:						
	I do not know	8 I do not know8		Please continue with question 6!						

5.	Please guess: How many key performance indicators were approximately monitored at this establishment? Please only provide information for the year for which you did not provide an answer in question 4.
	Examples for key performance indicators are : metrics on production, cost, output, quality, inventory, energy, absenteeism and delivery on time
	當 Please check one box for each year.
	2008 2013
	1-2
	\square_2 \square_2
	10-49
	50 or more
	I do not know
6.	How frequently were the key performance indicators reviewed by managers?
	企 Please mark all that apply.
	雷 A <u>manager</u> is someone who has employees directly reporting to them.
	2008 2013
	Yearly
	Quarterly
	Monthly.
	Weekly
	Daily
	Hourly or more frequently
	Never
	I do not know
	Does not apply; no key performance indicators
7.	How frequently were the key performance indicators reviewed by <u>non-managers</u> ?
	信 Please mark all that apply.
	Mon-managers are employees who are not responsible for other employees.
	2008 2013
	1 1
	Yearly
	Quarterly
	Monthly
	Weekly
	Daily
	Hourly or more frequently

Never

8.	Did this establishment have production display boar	ds and wh	nere were th	nese located	1?
	雷 Please check one box for each year.	2008	2013		
	All display boards were located in one place (e. g. at the end of the production line)	□1	🗆 1		
	Display boards were located in multiple places (e.g. at multiple stages of the production line)	2	2		
	I do not know	8	8		
	We did not have any display boards	6	6		
9.	What best describes the time frame of production ta	rgets at th	is establish	nment?	
	Examples for production targets are: production, qua	lity, efficier	ncy, output, c	delivery on tir	me
	雷 Please check one box for each year.				
			2008	2013	
	Main focus was on short-term (less than one year) produ	ction target	S1	1	
	Main focus was on long-term (more than one year) produ	_			\rightarrow
	Combination of short-term and long-term production targ	gets	3	3	If "No production targets"
					was marked in both years :
	I do not know				Please continue with question 13!
	No production targets		6	6	question 19:
10	. Who was aware of the production targets at this esta	ablishmen	t?		
	্রেল Please check one box for each year.				
		2008	2013		
	Only managers	1	1		
	Most managers and some non-managers	□ 2	2		
	Most managers and most non-managers	з	3		
	All managers and most/all non-managers				
	I do not know				
	Does not apply; no production targets	6	6		
11	. Did this establishment achieve its production target:	s in 2008 a	ind 2013?		
		2008	2013		
	Yes	1	1		
	No	2	2		
	I do not know				vas <u>not marked</u> in both years:
	Does not apply; no production targets			Please	continue with question 13!
	POUS HOLADDIV. HO DIDUUGIUH MIUELS	6	6		

r Please check one box for each year.			
	2000	2042	
Possible to achieve without much effort	2008	2013	
Possible to achieve with some effort			
Possible to achieve with normal amount of effort	д з	🗌 з	
Possible to achieve with more than normal effort	4	4	
Only possible to achieve with extraordinary effort	5	5	
I do not know	8	8	
Does not apply; no production targets			
13. From your point of view, how important are monetar	ry incentive	s to increa	se the productivity of
☐ Please check one box.			
,	Rather	Rather	Very
important ii	•	unimportar	nt unimportant
1	2	3	4
managers?			
non-managers?		⊔	
14. What were managers' performance bonuses usually	hased on?	•	
☐ Please mark all that apply.	basea on		
,			
Performance bonuses were usually based on	2008	2013	
their own performance	1	1	
their team or shift performance			
their establishment's performance			
their company's performance			
			If "No performance bonuses" was
I do not know			marked in both years :
No performance bonuses			Please continue with question 16!
15. What percent of the managers at this establishment	received p	erformance	e bonuses, if the necessary
requirements were met?			
r Please check one box for each year.	2008	2013	
0 %			
1 to 33 %			
34 to 66 %			
67 to 99 %			
100 %	5	5	
No one met the requirements	95	95	
I do not know	98	98	
Does not apply; no performance bonuses	96	96	

12. How easy or difficult was it for this establishment to achieve its production targets?

16. What were <u>non-managers'</u> performance bonuses us	sually based	l on?	
□ Please mark all that apply.			
Performance bonuses were usually based on	2008	2013	
their own performance			
their team or shift performance			
their establishment's performance			
their company's performance			
I do not know			If "No performance bonuses" was marked in both years: Please continue with question 18!
Does not apply; no performance bonuses	🗀		riease conunide with question 16:
17. What percent of the non-managers at this establish requirements were met? Please check one box for each year. 0 %	2008 1 2 3 4 5 95 98	20131234595	nce bonuses, if the necessary
18. What was the primary way managers were promote Please check one box for each year. Promotions were based solely on performance and ability Promotions were based partly on performance and ability, and partly on other factors (for example tenure, family connections or age) Promotions were based mainly on other factors (for example tenure, family connections or age) There were no promotions I do not know.	2008 	2013 1 2 2	

Promotions were based solely on performance and ability	19. What was the primary way	non-managers	<u>s</u> were pror	noted at this	s establi	shment?		
Promotions were based solely on performance and ability	r Please check one box fo	or each year.					2008	2013
Promotions were based partly on performance and ability, and partly on other factors (for example tenure, family connections or age)	Promotions were based solely	on performance	ce and ability	/				
Promotions were based mainly on other factors (for example tenure, family connections or age)	Promotions were based partly ability, and partly on other factor	on performance	e and					
There were no promotions. Ido not know	Promotions were based main	ly on other fact	ors					
20. When was an under-performing manager (except for persons in their probationary period) reassigned or dismissed? 2008 2013 Within 6 months of identifying manager under-performance		•	,					
20. When was an under-performing manager (except for persons in their probationary period) reassigned or dismissed? 2008 2013 Within 6 months of identifying manager under-performance	·							
or dismissed? Please check one box for each year. 2008 2013 Within 6 months of identifying manager under-performance	I do not know						8	8
Within 6 months of identifying manager under-performance		ming <u>manage</u> r	<u>r</u> (except fo	r persons ir	n their pr	obationary _I	period) reass	signed
Within 6 months of identifying manager under-performance	r Please check one box fo	or each year.					0000	0040
After 6 months of identifying manager under-performance	Within 6 months of identifying	n mananar und	er-nerforma	nce				
Never	· ·	-	•					
21. When was an under-performing non-manager (except for persons in their probationary period) reassigned or dismissed? 2008 2013 Within 6 months of identifying non-manager under-performance	, ,	•	•					
21. When was an under-performing non-manager (except for persons in their probationary period) reassigned or dismissed? Please check one box for each year. 2008 2013 Within 6 months of identifying non-manager under-performance								
or dismissed? Please check one box for each year. 2008 2013 Within 6 months of identifying non-manager under-performance	I do not know						8	8
very good good it depends bad very bad 1 2 3 4 5 23. From your point of view, how important are non-monetary incentives to stabilize or increase the productive of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Please check one box. Very Rather Rather Very important important unimportant unimportant	Within 6 months of identifying After 6 months of identifying Never	g non-manager non-manager u	ınder-perfori	mance			123	1 2 3
very good good it depends bad very bad 1 2 3 4 5 23. From your point of view, how important are non-monetary incentives to stabilize or increase the productive of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Flease check one box. Very Rather Rather Very important unimportant unimportant	22. In general, how would you	describe man	agement qı	uality on all	levels in	your establ	ishment?	
23. From your point of view, how important are non-monetary incentives to stabilize or increase the productive of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Please check one box. Very Rather Rather Very important important unimportant unimportant	雪 Please check one box.							
23. From your point of view, how important are non-monetary incentives to stabilize or increase the productive of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Please check one box. Very Rather Rather Very important important unimportant unimportant	very	good g	ood it de	epends	bad	very bad		
of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Please check one box. Very Rather Rather Very important important unimportant unimportant		1	2	3	4	5		
of employees? Examples for non-monetary incentives are: health measures or measures to improve work-family balance Please check one box. Very Rather Rather Very important important unimportant unimportant		□	□					
C Please check one box. Very Rather Rather Very important important unimportant unimportant	of employees?	•		-				
Very Rather Rather Very important important unimportant unimportant	-	-				•	·	
						•		
			1111portant 2	uriimporta 3	ını uriim	4		

Health days	
Health days Health check-ups Management seminars for health-oriented leadership Sport and relaxation offers (e. g. back exercise, autogenic training etc.) Healthy diet Ergonomic measures at the workplace	
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Health check-ups. Management seminars for health-oriented leadership. Sport and relaxation offers (e. g. back exercise, autogenic training etc.) Healthy diet. Ergonomic measures at the workplace	
Management seminars for health-oriented leadership. Sport and relaxation offers (e. g. back exercise, autogenic training etc.) Healthy diet. Ergonomic measures at the workplace.	
health-oriented leadership	
(e. g. back exercise, autogenic training etc.) Healthy diet. Ergonomic measures at the workplace.	
Ergonomic measures at the workplace	
2008 2013	
Don't Don't	
Yes No know 1 2 8 1 2 8	
(Company) Kindergarten/Contingents of places in a kindergarten	
Temporary reduction of working hours (e. g. sabbatical, part time work)	
Trust-based working time or independent organization of working time without operational timekeeping (e. g. home office)	
Programs for reintegration (e. g. after parental leave, home care or long illness)	
Support in organizing child care places or places for care-dependent relatives	
26. Which of the following measures promoted by the Federal Employment Agency did your establishment use?	
2008 2013	}
Further education of elderly employees (e. g. WeGebAU)	
Training grants or training assistance.	
Integration subsidy (Eingliederungszuschuss)	
Support of continuing vocational education and training	
Short-time work	
I do not know.	
None of these.	

Part B: Business environment of the establishment

We now ask you for some background information about the establishment in which you work. 27. Is this establishment... r Please check one box. 2013 an independent company? 1 the head office of a company? a place of business/office/branch of a larger company? a regional or specific middle-level authority of a multi-level company? 28. Is your establishment mainly or exclusively... 雪 Please check one box. 2013 German property..... foreign property..... equally divided into German and foreign property. I do not know. 29. Was there a principal owner of this establishment? a principal owner is defined as the owner of the major share of an establishment. 2013 Yes No. Please continue with question 32! I do not know 8 30. Was the principal owner of this establishment a family? 2013 Yes...... No. I do not know...... 8 31. How did the principal owner come into the possession of this establishment? 雪 Please check one box. The principal owner at this time... founded the establishment

5078

32.	How many $\underline{\text{managers}}$ were employed at this establishmen 2013?	nent on the	reference	dates 30 June 2008 and 30 June
	্রেল If an exact statement is not possible, please guess.			
	2008			2013
	Number (managers)			Number (managers)
	I do not know	I do not k	(now	8
33.	How many <u>non-managers</u> were employed at this established June 2013?	lishment or	n the refere	ence dates 30 June 2008 and 30
	雷 If an exact statement is not possible, please guess.			
	2008			2013
	Number (non-managers)			Number (non-managers)
	I do not know 8	I do not k	now	8
24	What was the parameters of managers at this catablish	mont with	o universit	ny degree?
34.	What was the percentage of <u>managers</u> at this establish	iment with	a universit	y degree?
	The Please check one box for each year.	0040		
	2008 20 % or less	2013		
	21 to 40 %			
	41 to 60 %			
	61 to 80 %			
	More than 80 % 5	4		
	Wore than 50 %	5		
	I do not know 8	8		
35.	What was the percentage of <u>non-managers</u> at this esta	blishment	with a univ	ersity degree?
	雷 Please check one box for each year.			
	2008	2013		
	10 % or less	1		
	11 to 20 %	2		
	More than 20 %	З		
	I do not know 8	8		
36.	Does this establishment have			
	201	3		
	201	Don't		
	Yes No	know		
	a collective agreement?	8		
	a works council?			

Part C: Economic conditions of the establishment

Please provide information regarding the establishment's business environment in 2008 and 2013.

37. What were your total annual sales (exclusive of value added tax)?

Statements should be given only for the business unit in question (not e.g. for larger units including different locations).

If an exact statement is not possible, please guess.

2008
in Thousand Euro
Does not apply; information not available on establishment level
I do not know 8
Refuse 9

2013
in Thousand Euro
Does not apply; information not available on establishment level
I do not know8
Refuse9

38. What share of sales was attributed to intermediate inputs and external costs? These are all raw materials and supplies, commodities, wage work, external services, rents and other costs that were purchased from other companies or facilities.

Statements should be given only for the business unit in question (not e.g. for larger units including different locations).

雷 If an exact statement is not possible, please guess.

2008	
in percent	
Does not apply; information not	
available on establishment level 🔲 6	
I do not know 8	
Refuse9	

2013	
in percent	
Does not apply; information not	
available on establishment level	6
I do not know	8
Refuse	9

39. Did this establishment export?

Statements should be given only for the business unit in question (not e.g. for larger units including different locations).

	2008	2013		
Yes	🔲 1			
No				If "No" or "I do not know" was marked in bo
I do not know	8		\rightarrow	years: Please continue with question 41!

·	rts?		
Statements should be given only for the business (not e.g. for larger units including different location			
2008	2013		
in percent	in percent		
Does not apply; Information not	Does not apply; Information not		
available on establishment level	available on establishment level		
I do not know 8	I do not know 8		
Refuse 9	Refuse 9		
41. Was your establishment active abroad? This includes taking over of a company abroad, se	etting up a location or subsidiary abroad or an		
equity participation amounting to a minimum of 10			
	2008 2013		
Yes, active abroad in this sense			
No, not active abroad in this sense			
I do not know	8 8		
40. Pid this setablishment offen one works of its more distribution	a church to		
42. Did this establishment offshore parts of its production			
42. Did this establishment offshore parts of its production	2008 2013 Don't Don't		
42. Did this establishment offshore parts of its production	2008 2013		
	2008		
other companies?	2008		
	2008		
other companies? own affiliates?	2008		
other companies? own affiliates?	2008		
other companies? own affiliates?	2008		
other companies? own affiliates?	2008		
other companies? own affiliates? 43. In 2008 and 2013, did your establishment Please mark all that apply.	2008		
other companies? own affiliates? 43. In 2008 and 2013, did your establishment Please mark all that apply. start to offer a product/service (product innovation)?	2008		
other companies?	2008 2013 Don't Yes No know 1 2 8 1 2 8		
other companies? own affiliates? 43. In 2008 and 2013, did your establishment Please mark all that apply. start to offer a product/service (product innovation)?	2008 2013 Don't Yes No know 1 2 8 1 2 8		
other companies?	2008 2013 Don't Yes No know 1 2 8 1 2 8		
other companies?	2008 2013 Don't Yes No know 1 2 8 1 2 8		

44	. How do you rate the pressure from competition that your establishment was exposed to?
	☐ Please check one box for each year.
	Competitive pressure was
	2008 2013
	very low 1
	rather low
	rather high
	very high 4
	I do not know
45	. Was your establishment negatively affected by the economic and financial crisis 2008/2009?
	企 Please check one box.
	Yes, very badly 1
	Yes, rather badly 2
	Yes, a little bit 3
	No 4
	I do not know 8
46	To complement the information collected, the Institute for Employment Research (IAB) would like to include data that is available at the IAB Nuremberg in the evaluation of this survey. These data include, for instance, information on the development of employment in the past. To link these data with the collected data, we would kindly ask you for your consent. This is required by the
	data protection act. The evaluation of this information strictly and absolutely complies with all data protection laws. Your agreement is voluntary. You can withdraw from your agreement at any time.
	Do you agree?
	Yes, I agree 1
	No, I do not agree
	I am not authorized 3
	I do not know 8
	Refuse 9

	Part D: Board of directors	
	In the following, please give information concerning years 2008 and 2013.	our establishment's executive board structure in the years
47.	Did the company, your establishment belongs to, have	e an executive board?
	Yes	2013
	No	If "No" or "I do not know" was marked in both
	I do not know 8	→ years: Please continue with question 53! Please continue with question 53! **The properties of the properties
48.	How many persons are on the executive board?	
	雪 If an exact statement is not possible, please guess.	
	2008	2013
	Number (of persons)	Number (of persons)
	Does not apply; no executive board. \square_6	Does not apply; no executive board. ☐ 6
	Book Hot apply, no exceditive Board.	Book not apply, no excedence board.
49.	How many persons on the executive board were femal	le?
	∠ If an exact statement is not possible, please guess.	
	2008	2013
	Number (of persons)	Number (of persons)
	rumber (er persone)	valuation (of persons)
	Does not apply; no executive board. 6	Does not apply; no executive board. 6
50	How many navona on the avanting board same from	Cormon anadring acceptains
50.	How many persons on the executive board came from If an exact statement is not possible, please guess.	German-speaking countries?
	2008	2013
	Number (of persons)	va
	Does not apply; no executive board. 6	Does not apply; no executive board. 6
51.	From your point of view, how important is international establishment? Please check one box	al experience for the executive board in your
	Very Rather	Rather Very
	,	unimportant unimportant
52.	How many board members have at least one year of w	vork experience abroad?
	☐ If an exact statement is not possible, please guess.	•
	2008	2013
	Number (of persons)	Number (of persons)
	Does not apply; no executive board.	Does not apply; no executive board.
	_	

Part E: Respondent information

	Finally, we would kindly ask you to provide some personal information.
53.	Are you
	male
	female2
54.	How long have you been working in this establishment?
	Since (year):
	Refuse 7
	I do not know 8
55.	Which position did you hold in 2013?
	全 Please check one box.
	Manager, CEO or executive officer (e. g. CFO)1
	Manager of multiple establishments (e. g. Division Manager)
	Manager of one establishment (e. g. Plant Manager or Controller) 3
	Manager within an establishment (e. g. Head of HR)
	Non-manager
	Other, please specify

You have reached the end of the questionnaire. Thank you for taking the time to support us. You have greatly helped us.

Please return this questionnaire to:

infas Institut für angewandte Sozialwissenschaft GmbH Postfach 24 01 01 53154 Bonn

A postage paid envelope is enclosed.

To assess developments and changes of management practices within your establishment in the next years, we would like to conduct this survey again with all participants after a while. In order to be able to contact you for a further survey, we have to save your contact details. According to data protection law, this requires your agreement.

Your contact details will be stored separately from the questionnaire and solely for the purpose of an additional survey. They will never be related to the answers you provided. Your information will be treated absolutely anonymously and your data will never be shared with third parties. Your contact details will be deleted after the completion of this research project. We would be grateful, if you would agree to further participate in our research project. Any further participation is voluntary and can be withdrawn at any time.

I agree with the storage of my contact details for <u>further</u> <u>surveys</u> (please mark)	
<u>surveys</u> (please mark)	

Moreover, we would like to send all study participants a report containing aggregated and anonymized results at the beginning of October 2015 via email.

In order to do so we have to save your name and email address. According to data protection law, this requires your agreement. Your contact information will be stored separately from the questionnaire and solely for the purpose of sending the report. Your data will never be shared with third parties. Your contact details will be ultimately deleted after sending this report.

I agree with the storage of my contact details for the purpose of sending survey results (please mark)	
Title	
First Name	
Last name	
Company	
Street	House number
ZIP code City	
Phone number:	
Cell number:	
Email address:	

We assure that the back cover containing your contact information will be separated from the questionnaire immediately upon entry at infas. Your information given in the questionnaire will never be stored together with your contact details.

